

Strategic Risk Register 2009

PARTNERSHIPS

	Department Risk Owner	Brief Description of Risk	Brief Service / Issues Description	Assessment of Risk with Current Controls in place			Assessment of Risk Control Measures that could be introduced			Date for completion of Action	Actual Completion Date	Status A=Amend N=New D=Delete	Category	Comments / Controls
				Impact	Likelihood	Risk Score	Impact	Likelihood	Risk Score					
CSPD 09/08	Customer Service & Performance Manager	<b>PARTNERSHIP</b> Joint Service Centre Board (contributing partner, South Normanton) Officers: CEO, Heads of CSPD & Regen.										PS	Generic Risk Assessment for informal partnerships under consideration by Business Risk Group - 12/11/08	
CSPD 10/08	Customer Service & Performance Manager	<b>PARTNERSHIP</b> Derbyshire Consultation Group (including Derbyshire Consultation Technical Group and Community Safety Consultation Sub Group) Lead: Ann Bedford  Engagement with Partners	1 Engaging with Service Users 2 Avoiding duplication of consultation functions across the region 3 Sharing of Best Practice 4 Improving buying power thru' consortium 5 Failure to comply with AC guidelines 6 Getting a better understanding of community needs to design/refine services	3 2	2 4	6 8				N/A		PS	In the past, the Partnership has fragmented when considering the procurement of large scale consultation projects.. The County Partner has invested in certain facilities and services (e.g. envelope stuffing service, printing and other stationary services) and has offered these to the Partnership (at favourable rates) but the rest of the Partners have gone to commercial providers as they offer a more complete service (e.g. summaries and analysis bound together within the same report as well as the stationary services). The Districts do not have the resources in-house for elements like analysis so have tended to organise their own smaller consortia. (Examples: 2006/07 BV US Survey, PLACE survey 2008). If the Partnership could work more closely together when purchasing the larger projects, greater savings maybe achieved.	
CSPD 13/08	Customer Service & Performance Manager	<b>PARTNERSHIP</b> Derbyshire/Nottinghamshire Scrutiny Officer Network Lead: Bernie O'Donnell	1 Duplication of effort and no sharing of Best Practice 2 Loss of buying power as a result of not using consortium procurement 3 Opportunities to access external funding may be diminished	1	3	3				N/A		PS	Generic Risk Assessment for informal partnerships under consideration by Business Risk Group - 12/11/08	
CSPD 14/8	Customer Service & Performance Manager	<b>PARTNERSHIP</b> Local Government East Midlands (LGEM) Scrutiny Network  Lead: Bernie O'Donnell	1 Duplication of effort and no sharing of Best Practice 2 Loss of buying power as a result of not using consortium procurement 3 Opportunities to access external funding may be diminished	1	3	3				N/A		PS	Generic Risk Assessment for informal partnerships under consideration by Business Risk Group - 12/11/08	
ENV7	Environmental & Depot	Meden Valley Making Places 1. Strategic Direction	Non achievement of objectives	4	2	8	4	2	8	ongoing	01/04/2011	PS	Partnership	

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ENV8	Environmental & Depot	Meden Valley Making Places 2. Performance	Failure to deliver renewal programme	4	2	8	4	2	8	ongoing	01/04/2011		PS	Partnership
ENV9	Environmental & Depot	Meden Valley Making Places 3. Reputation	Actions of partners damage the Council's reputation by association	3	2	6	3	2	6	ongoing	01/04/2011		PS	Partnership
ENV10	Environmental & Depot	Meden Valley Making Places 4. Financial	Insufficient funding to achieve renewal programme resulting in further financial input by the Council	3	2	6	3	2	6	ongoing	01/04/2011		PS	Partnership
ENV11	Environmental & Depot	Meden Valley Making Places 5. Legal	A legal claim against the partnership	2	2	4	2	2	4	ongoing	01/04/2011		PS	Partnership
ENV12	Environmental & Depot	North Derbyshire Home Improvement Agency (Bolsover DC, Chesterfield BC, North East DC) 1. Strategic Direction	Non achievement of objectives	4	2	8	4	2	8	ongoing		N	PS	Partnership. External review currently being undertaken may lead to changes within the partnership.
ENV13	Environmental & Depot	North Derbyshire Home Improvement Agency (Bolsover DC, Chesterfield BC, North East DC) 2. Performance	Failure to deliver agreed services	4	2	8	4	2	8	ongoing		N	PS	Partnership. External review currently being undertaken may lead to changes within the partnership.
ENV14	Environmental & Depot	North Derbyshire Home Improvement Agency (Bolsover DC, Chesterfield BC, North East DC) 3. Reputation	Actions of partners damage the Council's reputation by association	3	2	6	3	2	6	ongoing		N	PS	Partnership. External review currently being undertaken may lead to changes within the partnership.
ENV15	Environmental & Depot	North Derbyshire Home Improvement Agency (Bolsover DC, Chesterfield BC, North East DC) 4. Financial	Insufficient capital funding to meet the council's contribution to the agency resulting in failure to meet agreed objectives/outcomes within service level agreement. Supporting People reduce financial support resulting in further financial input by the Council	4	2	12	4	3	12	ongoing		N	PS	Partnership. External review currently being undertaken may lead to changes within the partnership.
ENV16	Environmental & Depot	North Derbyshire Home Improvement Agency (Bolsover DC, Chesterfield BC, North East DC) 5. Legal	Legal claim against the HIA	3	2	6	3	2	6	ongoing		N	PS	Partnership. External review currently being undertaken may lead to changes within the partnership.

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ENV17	Environmental & Depot	North Derbyshire Home Improvement Agency (Bolsover DC, Chesterfield BC, North East DC) 6. Personnel	Unforeseen financial cost to the council through redundancy/unfair dismissal claims, equal pay and accident claims.	2	3	6	2	3	6	ongoing		N	PS	Partnership. External review currently being undertaken may lead to changes within the partnership.
HRO 2008/05	Health & Safety Officer	Failure of partnership to deliver required occupational health provision	HR	2	3	6	2	3	6	N/A	N/A		PS	Existing control measures ensure regular review of the partnership and clear standards/accountability/responsibility. Additional Risk Control Measures involve having a contingency plan in the event of failure.
AIT/2008/024	Head of ICT	Derbyshire Transformational partnership fails leading to a reduction in the reported efficiencies	ICT Services	2	1	2	2	1	2				PS	PARTNERSHIP Agreement in place, ensure active participation in Partnership to monitor performance
Regen 12	D Eccles	LEGI - not requirement for forward strategy	Regeneration	3	3	9	2	2	4	1st Sept 2009		A	PS	Appointment of new Project Director required - should take place in March. June 2009 update - All workstreams reviewed. New appointment of director - totake up post in July
PLA/2008/3	Head of Planning	Partnership Working, Creswell THI.	Planning	3	2	6	3	2	6	N/A	N/A		PS	Partnership Working, Creswell THI.
LEI024	Leisure Operations Manager	Partnership working - includes the following partnerships: Bolsover Baths Bolsover Countryside Partnership Bolsover District Cultural Forum Bolsover District Play Partnership Bolsover District school sports partnership Bolsover District Sports Partnership Bolsover Ngage partnership BSF extended services Creative Partnerships Derbyshire sport Partnership Frederick Gent Partnership Greenhouse partnership Greenspace forum Living Literacy Partnership SHBK MEM sports ground committee Wellness Partnership Community Sports Action Groups Derbyshire Leisure Officers Group Local Football Partnership North Derbyshire Disability Sports Partnership.	Leisure	4	2	8	4	2	8	Quarterly review			PS	Partnership Agreement / Service level agreements / Concordats which should include as a minimum:  <ul style="list-style-type: none"> <li>• The name of the partnership &amp; the individual partners.</li> <li>• Indicate legal status of the partnership</li> <li>• Indicate geographical area covered by the partnership</li> <li>• The long-term vision for the partnership and also its objectives.</li> <li>• Performance indicators</li> <li>• Longevity of the partnership</li> <li>• Compliance with key legislation</li> <li>• The protocols for sharing information</li> <li>• The roles, duties and responsibilities of partners</li> <li>• The resources required and describe how they will be provided (and by whom)</li> <li>• The ownership of assets</li> <li>• The decision-making process</li> <li>• The secretariat role</li> <li>• How the partnership will monitor and evaluate activities</li> <li>• The organisational structure</li> <li>• A Time-line setting out key milestones</li> <li>• The management structure</li> <li>• The Accountable Body</li> <li>• Partnership capital</li> <li>• Income and expenditure</li> <li>• Grants and funding</li> <li>• Banking and financial arrangements</li> <li>• Accounting arrangements</li> <li>• Insurance policy information</li> <li>• Results of the partnership risk assessment</li> <li>• Circumstances necessary to expel a partners</li> <li>• Dispute resolution and complaints procedures (between partners)</li> <li>• Procedures for breach of protocol</li> <li>• Termination provisions</li> </ul>
PR5	Head of Shared Procurement Unit	Decision by one or more of the partner Councils to withdraw from the procurement consortium	SPU	5	1	5	5	1	5	Quarterly review	N/A		PS	Partnering agreements drawn up. Lots of contact and discussion via Joint Management Board. Link to corporate activity SR22
SR11	SMT	<b>Breakdown in key partnerships / relationships</b>	e.g. Standards Board, Audit Commission, LSP	3	2	6	3	2	6	Annual Review			PS	CPA Stakeholder survey 2007 confirms BDC has good partner relations Review when current work on partnership evaluation completed
<b>Total number of risks 22</b>														