

Strategic Risk Register 2009

PROJECTS

	Department Risk Owner	Brief Description of Risk	Brief Service / Issues Description	Assessment of Risk with Current Controls in place			Assessment of Risk Control Measures that could be introduced			Date for completion of Action	Actual Completion Date	Status A=Amend N=New D=Delete	Category	Comments / Controls
				Impact	Likelihood	Risk Score	Impact	Likelihood	Risk Score					
				CSPD 002/05a	Head of Customer Service & Performance	Training – Insufficient project management training results in projects coming in late or off target	Customer Service and Performance	2	2					
ENV18	Environmental & Depot	Project - New Houghton remodelling scheme Code **** 1. Staff resources	Inability to deliver/manage project	4	1	4	4	1	4	ongoing	31/03/2010	N	PR	
ENV19	Environmental & Depot	Project - New Houghton remodelling scheme Code **** 2. Level of take up within scheme	Low take up reduces the effectiveness of the scheme. May make scheme non viable. Fail to meet objectives/outcomes	3	2	6	3	2	6	ongoing	31/03/2010	N	PR	
ENV20	Environmental & Depot	Project - New Houghton remodelling scheme Code **** 3. Financial implications	Failure to recognise full cost implications and resultant inadequate budgetary provision. Unforeseen works.	4	1	4	4	1	4	ongoing	31/03/2010	N	PR	
ENV21	Environmental & Depot	Project - New Houghton remodelling scheme Code **** 4. Project Management	Inadequate project management leading to the failure of elements of the project or the project completely	4	1	4	4	1	4	ongoing	31/03/2010	N	PR	
ENV22	Environmental & Depot	Project - New Houghton remodelling scheme Code **** 5. Partnership Involvement	Partners fail to deliver their elements of the project	4	1	4	4	1	4	ongoing	31/03/2010	N	PR	
ENV23	Environmental & Depot	Project - New Houghton remodelling scheme Code **** 6. Exit Strategy	Lack of an exit strategy provides uncertainty for those involved in the project	2	1	2	2	1	2	ongoing	31/03/2010	N	PR	
ENV24	Environmental & Depot	Project - Group Repair Code *** 1. Staff Resources	Inability to deliver/manage project	4	1	4	4	1	4	ongoing		N	PR	
ENV25	Environmental & Depot	Project - Group Repair Code *** 2. Level of Take up within Scheme	Low take up reduces the effectiveness of the scheme. May make scheme non viable. Fail to meet objectives/outcomes	3	2	6	3	2	6	ongoing		N	PR	
ENV26	Environmental & Depot	Project - Group Repair Code *** 3. Financial	Failure to recognise full cost implications and resultant inadequate budgetary provision. Unforeseen works.	4	1	4	4	1	4	ongoing		N	PR	
ENV27	Environmental & Depot	Project - Group Repair Code *** 4. Project Management	Inadequate project management leading to the failure of elements of the project or the project completely	4	1	4	4	1	4	ongoing		N	PR	

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ENV28	Environmental & Depot	Project - Group Repair Code *** 5. Exit Strategy	Lack of an exit strategy provides uncertainty for those involved in the project	2	1	2	2	1	2	ongoing		N	PR	
ENV29	Environmental & Depot	Project - Disabled Facilities Grant - C020 4035 1. Staff Resources	Inability to deliver/manage project	4	1	4	4	1	4	ongoing		N	PR	
ENV30	Environmental & Depot	Project - Disabled Facilities Grant - C020 4035 2. Demand	High level of demand cannot be dealt with through lack of staffing/financial resources. Failure to meet customer expectations.	4	3	12	4	3	12	ongoing		N	PR	
ENV31	Environmental & Depot	Project - Disabled Facilities Grant - C020 4035 3. Financial implications	Inadequate budgetary provision and failure to deal with mandatory applications for grant. Ombudsman involvement. Impact on other private sector housing capital projects	4	3	12	4	3	12	ongoing		N	PR	
ENV32	Environmental & Depot	Project -Private Sector Housing Grants Codes C187/C033 1. Staff Resources	Inability to deliver/manage project	4	1	4	4	1	4	ongoing		N	PR	
ENV33	Environmental & Depot	Project -Private Sector Housing Grants Codes C187/C033 2. Demand	High level of demand cannot be dealt with through lack of staffing/financial resources. Failure to meet customer expectations.	2	4	8	2	4	8	ongoing		N	PR	
ENV34	Environmental & Depot	Project -Private Sector Housing Grants Codes C187/C033 3. Financial Implications	Inadequate budgetary provision to meet demand. Ombudsman involvement. Provides HIA support. Funds Capital salaries.	3	4	12	3	4	12	ongoing		N	PR	
ENV35	Environmental & Depot	Project - Stray Dog Service (Kennels) - Code G026 1. Legal	Breach of Confidentiality /Data Protection Act. Not meeting statutory duties/deadlines. Non-compliance with health and safety legislation	2	2	4	2	2	4	ongoing		N	PR	
ENV036	Environmental & Depot	Project - Stray Dog Service (Kennels) - Code G026 2. Contractual	Failure of outsource provider to deliver	2	3	6	2	3	6	ongoing		N	PR	

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ENV037	Environmental & Depot	Project - Stray Dog Service (Kennels) - Code G026 3. Physical	Attacks on personnel (by dogs and by owners). Non compliance with health and safety legislation. Dog escape	3	2	6	3	2	6	ongoing		N	PR	
ENV038	Environmental & Depot	Project - Stray Dog Service (Out of Hours Contractor) - Code G026 1. Legal	Breach of Confidentiality /Data Protection Act. Not meeting statutory duties/deadlines. Non-compliance with health and safety legislation	2	2	4	2	2	4	ongoing		N	PR	
ENV039	Environmental & Depot	Project - Stray Dog Service (Out of Hours Contractor) - Code G026 2. Contractual	Failure of outsource provider to deliver	2	3	6	2	3	6	ongoing		N	PR	
ENV040	Environmental & Depot	Project - Stray Dog Service (Out of Hours Contractor) - Code G026 3. Physical	Attacks on personnel (by dogs and by owners). Non compliance with health and safety legislation. Dog escape	3	2	6	3	2	6	ongoing		N	PR	
AIT/2005/014	Head of ICT	Incompatibility of new hardware with existing systems	IT Services	5	1	5	5	1	5	Mar-09	31/03/2009	D	PR	Initiation of VM project - June 08
AIT/2008/025	Head of ICT	Failure to implement the new version of CRM within timescale	ICT Services	3	2	6	3	1	3	31/03/2009	5th May 2009	D	PR	PROJECT seconded member of staff from Contact Centres to assist with this, prioritised as the focus of the IT Projects Team work for last two quarters of 2008/2009
AIT/2008/026	Head of ICT	Fail to meet the DWP deadline of 31/03/09 for Connection to Government Connect	ICT Services	3	2	6	3	1	3	31/03/2009	5th May 2009	D	PR	PROJECT monitoring progress weekly, in regular contact with GC account manager. Purchasing additional security measures as advised.
AIT/2008/027	Head of ICT	Virtualisation of servers, a project costing more than £10k and likely to last more than three months	ICT Services	2	2	4	2	1	2	31/03/2011			PR	PROJECT Initial virtualisation successfully achieved
LSP006/08	LSP Chair	Current economic downturn may hinder progress against achievement of NI 153 target (LAA Indicator)	LSP	2	3	6	3	2	6	N/A	N/A		PR	Project
PLA/2009/4	Heand of Planning	Conservation Area Appraisal and Management plan project	Planning	3	2	6	3	2	6	N/A	N/A	N	PR	Grant -aided project

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EI 25	Leisure Operations Manager	Project Planning – to be applied to projects longer than 3 months in duration or over £10,000 in value. Some of the projects included are: C126 Clune Street Recreation Ground C128 Neighbourhood Sports Fund C129 Green Space Strategy and Green Flag Park C130 Bolsover Improving Play Pitches Initiative C131 Clowne Youth Focused Play Area C133 Playground Upgrade Programme C135 Pleasley Vale Outdoor Education Centre Phase 2 Kissingate Reinstatement program	Leisure	3	2	6	3	2	6	Quarterly review		A	PR	Project management training which includes Knowing how to: <ul style="list-style-type: none"> • Bring teams along by involving them at the outset and explaining the need & benefits of the project. Define the project vision and where possible include them in the project development. • Development of a communication plan and good public relations • H&S risk assessment • Environmental risk assessment • Equalities impact assessment. • The development of a project plan which includes the creation of a project schedule & resources plan • Ensure project fits with the corporate aims of the authority. • Ensure a comprehensive project outline has been prepared and if necessary taken through the relevant group/committee etc. • Understand the scope of the plan and understand the resources needed. • Create a realistic project schedule. • Ensure there is constant monitoring and review built in.
SR3	SMT	Failure to deliver the long term Vision and Objectives	Decisions are influenced by short term expediency to the detriment of long term thinking/planning	3	2	6	3	2	6	Annual Review			PR	New processes require sustainability / exit strategies for new projects / initiatives etc
Total number of risks				32										