

RESIDUAL SCORE >=8				Master Risk Register 2011								APPENDIX 1			
Ref	Department Risk Owner	Brief Description of Risk	Corporate Aim	Scrutiny	Assessment of Risk with Current Controls in place			Assessment of Risk Control Measures that could be introduced			Date for completion of Action	Actual Completion Date	Status A=Amend N=New D=Delete	Category	Comments / Controls
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CSS 06	Community & Street Services	Lack of external funding - CSP & Neigh Mngt	Community Safety	Safe & Inclusive	5	3	15	5	2	10	Apr-08			Strategic Risk - being addressed at Corporate level, but needs monitoring at dept level as well	
Regen 18	Head of Regeneration	Meden Valley Making Places 1. Strategic Direction Non achievement of objectives	Regeneration	Sustainable Communities	4	2	8	4	2	8	Apr-11		A	PS	Partnership - as at 26/10/2010 possible extension to a longstop date of 31/12/2011. May be wound up in March 2011 dependent upon a decision at the Lands Tribunal. NB this partnership is predominantly Regeneration but also addresses Environment, Community Safety and Social Inclusion. Update 31/01/11- extension by home and improvement agency now approved until Dec 2011. Lands tribunal on Rye properties settled at no extra cost.
Regen 19	Head of Regeneration	Meden Valley Making Places 2. Performance Failure to deliver renewal programme	Regeneration	Sustainable Communities	4	2	8	4	2	8	Apr-11		A	PS	Partnership - as at 26/10/2010, above issue currently means that we cannot deliver the whole programme at New Houghton. Mitigation is that the partnership's professional advice is that we have a very strong case and it is highly unlikely that costs will be increased beyond the contingency sum in place. Also, the vast majority of BDC's work with MVMP has already been completed. Risk being managed by partners and if successful monies will be available to complete our programme. Renewal programme on hold at New Houghton - pending outcome of Lands tribunal - no further action yet
Regen 23	Head of Regeneration	North Derbyshire Home Improvement Agency (Bolsover DC, Chesterfield BC, North East DC) 1. Strategic Direction. Non achievement of objectives	Regeneration	Sustainable Communities	4	2	8	4	2	8	ongoing		A	PS	Partnership. As at 26/10/2010 Foundations, which is the co-ordinating body for HIAs, is being commissioned to look at how the HIA can be developed. NB this partnership is predominantly Regeneration but also addresses Community Safety and Social Inclusion. January 31st 2011, HIA review underway which should help to inform strategic direction.
Regen 24	Head of Regeneration	North Derbyshire Home Improvement Agency (Bolsover DC, Chesterfield BC, North East DC) 2. Performance. Failure to deliver agreed services	Regeneration	Sustainable Communities	4	2	8	4	2	8	ongoing		A	PS	Partnership. As above
Regen 26	Head of Regeneration	North Derbyshire Home Improvement Agency (Bolsover DC, Chesterfield BC, North East DC) 4. Financial Insufficient capital funding to meet the council's contribution to the agency resulting in failure to meet agreed objectives/outcomes within service level agreement. Supporting People reduce financial support resulting in further financial input by the Council	Regeneration	Sustainable Communities	4	3	12	4	3	12	ongoing		A	PS	Partnership. As above
Regen 41	Head of Regeneration	Project - Disabled Facilities Grant - C020 4035 2. Demand. High level of demand cannot be dealt with through lack of staffing/financial resources. Failure to meet customer expectations.	Social Inclusion	Safe & Inclusive	4	3	12	4	3	12	ongoing		A	PR	31/01/01Level of demand is within current budgets until year end, trying to project forward - keep under review
Regen 42	Head of Regeneration	Project - Disabled Facilities Grant - C020 4035 3. Financial implications. Inadequate budgetary provision and failure to deal with mandatory applications for grant. Ombudsman involvement. Impact on other private sector housing capital projects.	Social Inclusion	Safe & Inclusive	4	3	12	4	3	12	ongoing		A	PR	As above

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Regen 44	Head of Regeneration	Project -Private Sector Housing Grants Codes C187/C033 2. Demand. High level of demand cannot be dealt with through lack of staffing/financial resources. Failure to meet customer expectations.	Regeneration	Sustainable Communities	2	4	8	2	4	8	ongoing		A	PR	As above
Regen 45	Head of Regeneration	Project -Private Sector Housing Grants Codes C187/C033 3. Financial Implications. Inadequate budgetary provision to meet demand. Ombudsman involvement. Provides HIA support. Funds Capital salaries.	Regeneration	Sustainable Communities	3	4	12	3	4	12	ongoing			PR	As above
Regen 50	Head of Regeneration	Financial: 4. Insufficient funding. Actual costs exceed estimated costs. Poor financial control. Inability to access contingency funds. Failure to recover match funding contributions. VAT implications. External funding ceases. Claw back due to failure of project. Non-compliance with GOL conditions.	Regeneration	Sustainable Communities	4	3	12	4	2	8	ongoing			PR	As above
Regen 51	Head of Regeneration	Legal/Insurance: 5 Projects statutory duties. Legal claims. Inadequate insurance cover of risks. Liability of individual not clarified. Sharing of information between project partners breaches confidentiality/data protection legislation.	Regeneration	Sustainable Communities	4	2	8	4	2	8	ongoing			PR	As above
Regen 53	Head of Regeneration	Capital Projects (Property): 7 Responsibility for maintenance, insurance, services etc. Statutory requirements (disabled access etc). Claims for occupiers' liabilities, Health & Safety, & Public Liability	Regeneration	Sustainable Communities	4	2	8	4	2	8	ongoing			PR	As above
Hous 001/06	Head of Housing	Reliance of microfiche system	Social Inclusion	Safe & Inclusive	3	4	12	3	4	12	Dec-09				Budget bid unsuccessful - risk remains unacceptable (with system would be likelihood of 2) Link to SR17. Sep 08 -Bid successful implementation commenced (when in place risk will reduce). DIP software being developed.
Hous 002/06	Head of Housing	Loss of Supporting People Contract	Social Inclusion	Safe & Inclusive	4	4	16	4	2	8	Sep-09				Activities with housing SDP for 2007/8 to obtain accreditation for service. For 2007/8 SP team only offering 1 year contract. Risk rescored Dec 08 - SP are looking to review of all services together with move to individual contracts. BDC must ensure service meets new guidance, and obtains external accreditation for quality. Expected update December 2011.
Hous 006/06	Head of Housing	Attack on Street Cash Collector	Social Inclusion	Safe & Inclusive	4	3	12	4	3	12	Mar-06			HS	Political decision not to progress the action at the present time.
Leg/2005/11	Solicitor to the Council	Legal / Licensing - Number of employee and member conduct related hearings increases beyond current levels.	Strategic Org Dev	Improvement	4	3	12	4	3	12					Cannot put in place any controls to lessen either impact or likelihood

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Regen 6	Head of Regeneration	Engineers - Accident or injury from dangerous structures	Environment	Sustainable Communities	4	2	8	4	2	8	Ongoing		A	HS	Being review as part of emergency planning process. Review 30th November 2006. Feb 2007 raised as part of business continuity- awaiting corporate decision. June 2007 No further action as yet. Up date 4th Dec 2007 - further fire risk assessment work on going. Tim Walker working on evacuation plan. Insurers assessors visited and advised Vale house probably presents a problem as it could block the road. Talking to Conservation about possible demolition. Update May 2008 - work arranged on site at Pleasley Vale Mill. Partial demolition to be completed over next month. Work completed at PV Mills - just generally to keep this risk under review. Sept 2009 update back up now provided by BCN. 1 dangerous structure report revived and responded to since Christmas 2009 to the beginning of march 2010. Oct update - back up service now formerly provided through BCN. Reduced risk to be reported next quarter.																										
Regen 7	Head of Regeneration	Property Management - Injury at Pleasley Vale Mills	Regeneration	Sustainable Communities	4	3	12	4	3	12	Ongoing		A	HS	Recent approval for extensive repairs and addition of new lift to Mill 1, and car park to Mill 1. Fire risk assessments undertaken and asset management capital and revenue bids submitted. Feb 2007 large amount of capital work underway, fire officer has visited Mill 1 and offered recommendations June 2007 as above - also flood damage being examined and further work may be required. May 2008 update -extensive survey undertaken of culvert of Mills, high cost over £100,000 to rectify silt build up. Will be considered as part of future proposals for Mills - will keep under review. June 2009 update - recent review of external area undertaken by LSO, and risk assessed, being attended to by LS staff and consultancy services. Sept 2009 procedures to be looked at now and updated. Oct 2010 update draining of pond being looked at and remedial work to broken surface of car park - audit of parking areas to be undertaken.																										
Regen 8	Head of Regeneration	Property Management - Commercial management at Pleasley Mills	Regeneration	Sustainable Communities	4	3	12	4	3	12	Ongoing			PS	Self managed from 1st April 2011. Risks to be determined and reviewed once service is operational.																										
Regen 13	Head of Regeneration	Asbestos Management - Managing asbestos within council buildings and on council property. Controlling exposure of employees and others to asbestos.	Regeneration	Sustainable Communities	4	2	8	4	2	8	Quarterly review by AMG		A	HS	Introduction of new polices procedures and working methods. New system for identifying, assessing & managing asbestos materials that the council has responsibility for. To be monitored by Asset Management Group. June 2009 update - training of key staff recently taken place, new surveys being undertaken, roles being defined. Sept 2009 update discussions on roles taking place with Head of Housing. All surveys of commercial buildings done, sample surveys of housing being progressed. Register system being changed. Progress report sent to SMT in Feb 2010. Oct 2010 new systems in place appears to be running well. Monitoring taking place and risk to be reviewed in 2011/12.																										

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LEI024	Head of Leisure	Partnership working - includes the following partnerships: Bolsover Countryside Partnership Bolsover District Cultural Forum Bolsover District Play Partnership Bolsover District school sports partnership Bolsover District Sports Partnership BSF extended services Creative Partnerships Derbyshire sport Partnership Frederick Gent Partnership Greenhouse partnership Greenspace forum SHBK MEM sports ground committee Wellness Partnership Community Sports Action Groups Derbyshire Leisure Officers Group Local Football Partnership North Derbyshire Disability Sports Partnership.	Social Inclusion	Safe & Inclusive	4	2	8	4	2	8	Quarterly review		A	PS	Partnership Agreement / Service level agreements / Concordats which should include as a minimum: • The name of the partnership & the individual partners. • Indicate legal status of the partnership • Indicate geographical area covered by the partnership • The long-term vision for the partnership and also its objectives. • Performance indicators • Longevity of the partnership • Compliance with key legislation • The protocols for sharing information • The roles, duties and responsibilities of partners • The resources required and describe how they will be provided (and by whom) • The ownership of assets • The decision-making process • The secretariat role • How the partnership will monitor and evaluate activities • The organisational structure • A Time-line setting out key milestones • The management structure • The Accountable Body • Partnership capital • Income and expenditure • Grants and funding • Banking and financial arrangements • Accounting arrangements • Insurance policy information • Results of the partnership risk assessment • Circumstances necessary to expel a partners • Dispute resolution and complaints procedures (between partners) • Procedures for breach of protocol • Termination provisions
SR1	SMT	Capacity issues Insufficient human resources to deliver council services	All	All	4	2	8	4	2	8	Quarterly review				Government cuts will be most significant impact in the coming years.
SR2	SMT	CAA, LAA, SNR Loss of funding / trading / other powers / Increased inspection regime	All	All	4	2	8	4	2	8	Annual Review				To be deleted.
SR4	SMT	Single Status Process may require resources the Council cannot afford	All	All	4	4	16	4	3	12	Quarterly review				To be deleted.
SR5	SMT	Budget / efficiency pressures Inadequate financial resources to deliver the Council's vision and inability to make efficiencies	All	All	5	3	15	5	3	15	Quarterly review				The Council faces uncertainty due to equal pay claims and future government funding. The savings strategy seeks to address this and is updated monthly with reports to members and SMT.
SR7	SMT	Inadequate Risk and Business Continuity Management Full or partial loss of services / Disruption of essential services	All	All	4	2	8	4	2	8	Quarterly review				New arrangements with DCC. Score to be reviewed in 2011 in light of this.
SR8	SMT	Asset management Long term running costs for assets not budgeted for	All	All	4	2	8	4	2	8	Quarterly review				Asset Management plan and policy reported to Executive in 2010/11.
SR9	SMT	Workforce related issues Major industrial action to sickness epidemic	All	All	4	3	12	4	3	12	Quarterly review				Issues currently faced relate to national industrial action. At local level unions engaged in issues fully. Pandemic risk not changed.
SR16	SMT	Fraud and Corruption Actual and perceived	All	All	4	2	8	4	2	8	Quarterly review				No change to scores but noted that good reports and no specific issues identified by Audit Commission or Internal Audit. Recent results positive - Chartermark award. Under performing areas, e.g. rent collection being monitored

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SR 28	SMT	Contractor Failure In view of the current economic crisis, monitoring arrangements have been established for regular review of the credit worthiness and ability of Contractors undertaking work for the Council to complete their obligations	All	All	3	3	9	3	3	9	Quarterly review			Monitoring is currently being undertaken by the Procurement Section
Total number of risks 31														