

RESIDUAL >= 8					Master Risk Register 2011							APPENDIX 1			
Ref	Department Risk Owner	Brief Description of Potential Risk	Corporate Aim	Scrutiny	Assessment of Risk with Current Controls in place			Assessment of Risk Control Measures that could be introduced			Date for completion of Action	Actual Completion Date	Status A=Amend N=New D=Delete	Category	Comments / Controls
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CSS 06	Community & Street Services	Lack of external funding - CSP & Neigh Mngt	Community Safety	Safe & Inclusive	5	3	15	5	2	10	Apr-08			Strategic Risk - being addressed at Corporate level, but needs monitoring at dept level as well	
Regen 18	Head of Regeneration	Meden Valley Making Places 1. Strategic Direction Non achievement of objectives	Regeneration	Sustainable Communities	4	2	8	4	2	8	Apr-11		PS	Partnership - as at 26/10/2010 possible extension to a longstop date of 31/12/2011. May be wound up in March 2011 dependent upon a decision at the Lands Tribunal. NB this partnership is predominantly Regeneration but also addresses Environment, Community Safety and Social Inclusion. Update 31/01/11 - extension by home and improvement agency now approved until Dec 2011. Lands tribunal on Rye properties settled at no extra cost.	
Regen 19	Head of Regeneration	Meden Valley Making Places 2. Performance Failure to deliver renewal programme	Regeneration	Sustainable Communities	4	2	8	4	2	8	Apr-11		PS	Partnership - as at 26/10/2010, above issue currently means that we cannot deliver the whole programme at New Houghton. Mitigation is that the partnership's professional advice is that we have a very strong case and it is highly unlikely that costs will be increased beyond the contingency sum in place. Also, the vast majority of BDC's work with MVMP has already been completed. Risk being managed by partners and if successful monies will be available to complete our programme. Renewal programme on hold at New Houghton - pending outcome of Lands tribunal - no further action yet	
Regen 23	Head of Regeneration	North Derbyshire Home Improvement Agency (Bolsover DC, Chesterfield BC, North East DC) 1. Strategic Direction. Non achievement of objectives	Regeneration	Sustainable Communities	4	2	8	4	2	8	ongoing		PS	Partnership. As at 26/10/2010 Foundations, which is the co-ordinating body for HIAs, is being commissioned to look at how the HIA can be developed. NB this partnership is predominantly Regeneration but also addresses Community Safety and Social Inclusion. January 31st 2011, HIA review underway which should help to inform strategic direction.	
Regen 24	Head of Regeneration	North Derbyshire Home Improvement Agency (Bolsover DC, Chesterfield BC, North East DC) 2. Performance. Failure to deliver agreed services	Regeneration	Sustainable Communities	4	2	8	4	2	8	ongoing		PS	Partnership. As above	
Regen 26	Head of Regeneration	North Derbyshire Home Improvement Agency (Bolsover DC, Chesterfield BC, North East DC) 4. Financial Insufficient capital funding to meet the council's contribution to the agency resulting in failure to meet agreed objectives/outcomes within service level agreement. Supporting People reduce financial support resulting in further financial input by the Council	Regeneration	Sustainable Communities	4	3	12	4	3	12	ongoing		PS	Partnership. As above	
Regen 41	Head of Regeneration	Project - Disabled Facilities Grant - C020 4035 2. Demand. High level of demand cannot be dealt with through lack of staffing/financial resources. Failure to meet customer expectations.	Social Inclusion	Safe & Inclusive	4	3	12	4	3	12	ongoing		A	PR	2010/11 underspend by £85K (£106K underspend in previous year). Commitments now exceed £215K and spend to June £47K, against £481K budget.
Regen 42	Head of Regeneration	Project - Disabled Facilities Grant - C020 4035 3. Financial implications. Inadequate budgetary provision and failure to deal with mandatory applications for grant. Ombudsman involvement. Impact on other private sector housing capital projects.	Social Inclusion	Safe & Inclusive	4	3	12	4	3	12	ongoing			PR	As above

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Regen 44	Head of Regeneration	Project -Private Sector Housing Grants Codes C187/C033 2. Demand. High level of demand cannot be dealt with through lack of staffing/financial resources. Failure to meet customer expectations.	Regeneration	Sustainable Communities	2	4	8	2	4	8	ongoing			PR	As above
Regen 45	Head of Regeneration	Project -Private Sector Housing Grants Codes C187/C033 3. Financial Implications. Inadequate budgetary provision to meet demand. Ombudsman involvement. Provides HIA support. Funds Capital salaries.	Regeneration	Sustainable Communities	3	4	12	3	4	12	ongoing			PR	As above
Regen 50	Head of Regeneration	Project Management - Financial: 4. Insufficient funding. Actual costs exceed estimated costs. Poor financial control. Inability to access contingency funds. Failure to recover match funding contributions. VAT implications. External funding ceases Claw back due to failure of project. Non-compliance with GOL conditions.	Regeneration	Sustainable Communities	4	3	12	4	2	8	ongoing			PR	As above
Regen 51	Head of Regeneration	Project Management -Legal/Insurance: 5 Projects statutory duties. Legal claims. Inadequate insurance cover of risks. Liability of individual not clarified. Sharing of information between project partners breaches confidentiality/data protection legislation.	Regeneration	Sustainable Communities	4	2	8	4	2	8	ongoing			PR	As above
Regen 53	Head of Regeneration	Capital Projects (Property): Responsibility for maintenance, insurance, services etc. Statutory requirements (disabled access etc). Claims for occupiers' liabilities, Health & Safety, & Public Liability	Regeneration	Sustainable Communities	4	2	8	4	2	8	ongoing			PR	As above
Hous 001/06	Head of Housing	Reliance of microfiche system	Social Inclusion	Safe & Inclusive	3	4	12	3	4	12	Dec-09				Budget bid unsuccessful - risk remains unacceptable (with system would be likelihood of 2) Link to SR17. Sep 08 -Bid successful implementation commenced (when in place risk will reduce). DIP software being developed.
Hous 002/06	Head of Housing	Loss of Supporting People Contract	Social Inclusion	Safe & Inclusive	4	4	16	4	2	8	Sep-09				Activities with housing SDP for 2007/8 to obtain accreditation for service. For 2007/8 SP team only offering 1 year contract. Risk rescored Dec 08 - SP are looking to review of all services together with move to individual contracts. BDC must ensure service meets new guidance, and obtains external accreditation for quality. Expected update December 2011.
Hous 006/06	Head of Housing	Attack on Street Cash Collector	Social Inclusion	Safe & Inclusive	4	3	12	4	3	12	Mar-06			HS	Political decision not to progress the action at the present time.
Leg/2005/11	Solicitor to the Council	Legal / Licensing - Number of employee and member conduct related hearings increases beyond current levels.	Strategic Org Dev	Improvement	4	3	12	4	3	12					Cannot put in place any controls to lessen either impact or likelihood
Regen 7	Head of Regeneration	Property Management - Injury at Pleasley Vale Mills	Regeneration	Sustainable Communities	4	3	12	4	3	12	Ongoing		A	HS	Oct 2010 update draining of pond being looked at and remedial work to broken surface of car park - audit of parking areas to be undertaken.

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Regen 8	Head of Regeneration	Property Management - Commercial management at Pleasley Mills	Regeneration	Sustainable Communities	4	3	12	4	3	12	Ongoing		PS	Self managed from 1st April 2011. Risks to be determined and reviewed once service is operational.	
Regen 13	Head of Regeneration	<b>Asbestos Management</b> - Managing asbestos within council buildings and on council property. Controlling exposure of employees and others to asbestos.	Regeneration	Sustainable Communities	4	2	8	4	2	8	Quarterly review by AMG		HS	Introduction of new policies procedures and working methods. New system for identifying, assessing & managing asbestos materials where the council has responsibility. Monitored by Asset Management Group. June 2009 update - training of key staff recently taken place, surveys undertaken, roles defined. Oct 2010 new systems in place appears to be running well. Monitoring taking place and risk to be reviewed in 2011/12.	
LEI024	Head of Leisure	Partnership working - includes the following partnerships: Bolsover Countryside Partnership Bolsover District Cultural Forum Bolsover District Play Partnership Bolsover District school sports partnership Bolsover District Sports Partnership  BSF extended services Creative Partnerships Derbyshire sport Partnership Frederick Gent Partnership Greenhouse partnership Greenspace forum  SHBK MEM sports ground committee Wellness Partnership Community Sports Action Groups Derbyshire Leisure Officers Group Local Football Partnership North Derbyshire Disability Sports Partnership. <u>Risks associated with Partnerships</u>  Information, Communication & Governance • Any infringement of Data Protection Act, Information Sharing etc. • Inappropriate storage and handling of information. Personnel • Unfair dismissal claims. • Redundancy claims. • Equal Opportunities legislation claims. • Accident claims. • Job evaluation re-grades. Property	Social Inclusion	Safe & Inclusive	4	2	8	4	2	8	Quarterly review	A	PS	Partnership Agreement / Service level agreements / Concordats in place.	
SR1	SMT	<b>Capacity issues</b> Insufficient human resources to deliver Council services	All	All	4	2	8	4	2	8	Quarterly review			Government cuts will be most significant impact in the coming years. Strategic Alliance risks considered separately.	
SR5	SMT	<b>Budget / efficiency pressures</b> Inadequate financial resources to deliver the Council's vision and inability to make efficiencies	All	All	5	3	15	5	3	15	Quarterly review			The Council faces uncertainty due to equal pay claims and future government funding. The savings strategy seeks to address this and is updated monthly with reports to members and SMT.	
SR7	SMT	<b>Inadequate Risk and Business Continuity Management</b> Full or partial loss of services / Disruption of essential services	All	All	4	2	8	4	2	8	Quarterly review			New arrangements with DCC. Score to be reviewed in 2011 in light of this.	
SR8	SMT	<b>Asset management</b> Long term running costs for assets not budgeted for	All	All	4	2	8	4	2	8	Quarterly review			Asset Management plan and policy reported to Executive in 2010/11.	
SR9	SMT	<b>Workforce related issues</b> Range from major industrial action to sickness epidemic	All	All	4	3	12	4	3	12	Quarterly review			Issues currently faced relate to national industrial action. At local level unions engaged in issues fully. Pandemic risk not changed.	
SR16	SMT	<b>Fraud and Corruption</b> Actual and perceived	All	All	4	2	8	4	2	8	Quarterly review			No change to scores but noted that good reports and no specific issues identified by Audit Commission and Internal Audit. Recent results positive - Chartermark award. New corruption act included within new contract contract procedure rules.	

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SR 28	SMT	<b>Contractor Failure</b> In view of the current economic crisis, monitoring arrangements have been established for regular review of the credit worthiness and ability of Contractors undertaking work for the Council to complete their obligations	All	All	3	3	9	3	3	9	Quarterly review			Monitoring is currently being undertaken by the Procurement Section	
SR 29	SMT	<b>Strategic Alliance</b> The current risks are that the Alliance fails; there is insufficient capacity to deliver and with possible employee morale issues.	All	All	4	3	12	4	3	12	Quarterly review		N	PR Agreement to be established, structure agreed and posts filled.	
<b>Total number of risks 29</b>															