

## Bolsover District Council – Internal Audit Reports Issued 2019/20

Ref	Report Title	Assurance Level 2019/20	Opinion Previous Audit
B001	Procurement	Reasonable	Reasonable
B002	Property Services Compliance	Reasonable	Limited
B003	Sheltered Housing	Reasonable	Limited
B004	Business Continuity & Emergency Planning	Substantial	Reasonable
B005	Corporate Targets & Local Indicators	Reasonable	Substantial
B006	Safeguarding	Reasonable	Reasonable
B007	Clowne Leisure Services	Substantial	Reasonable
B008	Treasury Management	Substantial	Substantial
B009	Health & Safety	Substantial	Limited
B010	Capital Accounting	Substantial	N/A
B011	Creditors	Substantial	Substantial
B012	Payroll	Substantial	Substantial
B013	Taxi Licensing	Substantial	Reasonable
B014	Domestic Waste Collection	Substantial	Good
B015	Housing Rents	Substantial	Substantial
B016	Transformation Agenda	Reasonable	N/A
B017	Cash and Bank	Substantial	Substantial
B018	National Non Domestic Rates	Substantial	Substantial
B019	Main Accounting & Budgetary Control	Substantial	Substantial
B020	Housing Benefits & Council Tax Support	Substantial	Substantial
B021	Network Security & Policies	Substantial	Good
B022	Members IT Equipment	Reasonable	N/A
B023	The Tangent	Reasonable	Reasonable
B024	Pleasley Vale Business Park	Reasonable	Reasonable
B025	Risk Management	Reasonable	Reasonable
B026	Housing Repairs - Voids	Reasonable	N/A
B027	Transport	Reasonable	Reasonable
B028	Sundry Debtors	Reasonable	Substantial

Assurance Level	Definition
<b>Substantial Assurance</b>	There is a sound system of controls in place, designed to achieve the system objectives. Controls are being consistently applied and risks well managed.
<b>Reasonable Assurance</b>	The majority of controls are in place and operating effectively, although some control improvements are required. The system should achieve its objectives. Risks are generally well managed.

<b>Limited Assurance</b>	Certain important controls are either not in place or not operating effectively. There is a risk that the system may not achieve its objectives. Some key risks were not well managed.
<b>Inadequate Assurance</b>	There are fundamental control weaknesses, leaving the system/service open to material errors or abuse and exposes the Council to significant risk. There is little assurance of achieving the desired objectives.