

Bolsover District Council Draft Internal Audit Plan 2020/21

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| | Audit Complete |
| | Audit in Progress |
| | Ongoing |

| Main Financial Systems | 2020/21 | Last Audited |
|---|----------------|---------------------|
| CIPFA Financial Management Code | 8 | New |
| Debtors | 15 | 19/20 |
| Cash and Banking (key controls) | 13 | 19/20 |
| Council Tax | 20 | 18/19 |
| Non Domestic Rates(Key Controls) | 10 | 19/20 |
| Housing / Council Tax Benefit | 18 | 19/20 |
| Housing Rents | 20 | 19/20 |
| Housing Repairs | 20 | 19/20 |
| Total Main Financial Systems | 124 | |
| Other Operational Audits | 2020/21 | Last Audited |
| Asset Management Arrangements | 10 | 17/18 |
| Careline / Supporting People | 10 | New |
| Community Safety | 10 | New |
| Corporate Targets | 15 | 19/20 |
| Data Protection | 12 | 17/18 |
| Disabled Facilities Grants | 10 | 17/18 |
| Dog Warden | 5 | 13/14 |
| Elections (carry forward 19/20) | 10 | 11/12 |
| Facilities Management (carry forward 19/20) | 12 | New |

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| Final Accounts | 7 | 19/20 |
| Food Hygiene | 13 | 17/18 |
| Gas Servicing | 12 | 18/19 |
| Housing Allocations and Lettings | 15 | 17/18 |
| Planning Processes & Procedures / Appeals | 10 | New |
| Procurement | 15 | 19/20 |
| Social media | 8 | 16/17 |
| Street Cleaning | 10 | 16/17 |
| VAT | 8 | 18/19 |
| Total Operational Areas | 192 | |
| IT Related | | |
| Laptops / Removable Media | 12 | 14/15 |
| Network / Cyber security | 12 | 19/20 |
| Total IT | 24 | |
| | 2020/21 | Last Audited |
| Fraud and Corruption | | |
| National Fraud Initiative (Key Contact) | 10 | Annual |
| Total Fraud and Corruption | 10 | |
| Corporate / Cross Cutting Issues | | |
| Climate Change | 5 | New |
| Corporate Governance/Assurance Statement | 2 | Annual |
| Ethics | 10 | New |
| Financial Advice/Working Groups/Test Schedule Development | 12 | Annual |

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| Mobile Phones / Communications | 10 | New |
| Transformation Agenda | 10 | 19/20 |
| Use of Consultants / IR35 (carry fwd 19/20) | 14 | New |
| | | |
| Total Corporate/Cross Cutting Issues | 63 | |
| Apprenticeship / Training | 30 | |
| Special Investigations / Contingency | 25 | |
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| Audit Committee / Client Liaison | 15 | |
| Grand Total | 483 | |